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FORM PTO 1390 (REV. 11-2000

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY DOCKET NUMBER

825-164

U.S. APPLICATION NO. (If boows, see 37 CFR 1.5) 10/089559

INTERNATIONAL APPLICATION NO. PCT/EP00/09705	INTERNATIONAL FILING DATE October 4, 2000	PRIORITY DATE CLAIMED October 4, 1999				
TITLE OF INVENTION AXIAL PISTON COMPRESSOR						
APPLICANT(S) FOR DO/EO/US OTFRIED SCHWARZKOPF						
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:						
1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.						
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.						
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.						
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).						
5. □ A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
a. ☐ is attached hereto (required only if not communicated by the International Bureau).						
b. ☐ has been communicated by the International Bureau.						
c. 🛘 is not required, as the application was filed in the United States Receiving Office (RO/US).						
6. 🗵 A English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
a. 区 is attached hereto.						
b.  has been previously submitted under 35 U.S.C. 154(d)(4).						
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
a.   are attached hereto (required only if not communicated by the International Bureau).						
b.  have been communicated by the International Bureau.						
c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.						
d. ☐ have not been made and will not be n	nade.					
8.  A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
10. A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
Items 11 to 20 below concern other document(s) or information included:						
11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.						
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
13. A FIRST preliminary amendment.						
14.   A SECOND or SUBSEQUENT preliminary amendment.						
15. □ A substitute specification.						
16. ☐ A change of power of attorney and/or address letter.						
17. □ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.						
18. □ A second copy of the published international application under 35 U.S.C. 154(d)(4).						
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						
20. Other items or information:						
☐ Applicant claims small entity status.						
Supplement to Transmittal Letter.						

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U.S. APPLICATION NO. (if I	cnown, see 37 CFR 1.5)	INTERNATIONAL APPI PCT/EP00/09	-	ATTORNEY'S DOCKET NUMBER 825-164	
21.   The following fees:	are submitted:			CALCULATIONS PTO USE ONLY	
Basic National Fee (37 CFR 1.492(a)(1)-(5)):					
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 740.00			o		
International preliminary examination fee (37 CFR 1.482).paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 710.00				o	
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims satisfied provisions of PCT Article 33(1)-(4)			0		
ENTER APPROPRIATE BASIC FEE AMOUNT =			\$890.00		
Surcharge of \$130.00 for furnismonths from the earliest claime	_		20 🗆 30	+130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	6 - 20 =	-0-	x \$ 18.00		
Independent Claims	1 - 3 =	-0-	x \$ 84.00		
MULTIPLE DEPENDENT CI	AIM(S) (if applicable)	-0-	+ \$280.0	0	
		TOTAL OF ABOVE C	ALCULATIONS	= \$1,020.00	
☐ Applicant claims small en	ity status. See 37 CFR 1.27.	The fees indicated above are re	educed by 1/2.	-	
SUBTOTAL =			\$1,020.00		
Processing fee of \$130.00 for furnishing the English Translation later than   months from the earliest claimed priority date (37 C.F.R. 1.492(f)).			+		
		TOTAL NATIONAL F	EE =	\$1,020.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property					
		TOTAL FEES ENCLO	SED =	\$1,020.00	
			Amount to be refunded:		
				Charged:	
a.  A check in the amount of \$1,020.00 to cover the above fees is enclosed.  b.  Please charge my Deposit Account No. in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.					
c. 🗵 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.					
ol. 2000. A duplicate copy of this sheet is enclosed.  d. □ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: ANDRUS, SCEALES, STARKE & SAWALL, LLP  ANDRUS CEALES, STARKE & SAWALL, LLP  ANDRUS CEALES, STARKE & SAWALL, LLP					
Milwaukee, Wisconsin Phone: (414) 271-75			gnature GARY A. ESSMAN	Date N 29,376	
Fax: (414) 271-5770			Vame	Reg. No.	

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U.S. APPLICATION NO. (if known, see 1 CFR 1...)

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825-164

## CERTIFICATE OF EXPRESS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL-POST OFFICE TO ADDRESSEE, in an envelope addressed to: BOX PCT, COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231 on the 1st day of April, 2002. Express Mail Label EV 097314852 US.

GARY A. ESSMANN	29,376
Name /	Reg. No.
_/ you U. Selmann	April 1, 2002
Signature	Date